

Federal Tax ID

Phone Number(s)

SSN of BROKER

## INDEPENDENT BROKER MARKETING AGREEMENT



This Agreement is entered into between Riviera Finance ("Riviera") and	d			
		("Broker"		
TERM: This Agreement will become effective on the date executed below, and only upon full execution by Riviera and Broker. Either party materminate this Agreement at any time by providing ten (10) days written notice. All commissions for transactions funded prior to termination with continue to be honored for the life of said transaction.				
SERVICES TO BE PERFORMED: Broker, as an independent contractor, agree ("referral" or "referrals") to/for Riviera for evaluation of possible financing.	s to solicit and refer new prospective commercial clie	ents		
COMPENSATION: Broker will be paid commission for successful referrals provided to Riviera; a referral is successful only if the prospective client 1) is referred to Riviera in writing by Broker first (see Competing Submissions below) and 2) actually receives financing from Riviera within six (6) months of Broker's written introduction. In consideration for the services to be performed by Broker, Riviera agrees to pay to Broker ten percent (10%) of all net fees generated from financing of any account of a successful referral. This commission will be paid by Riviera to Broker for the life of said account.				
COMPETING SUBMISSIONS: Broker acknowledges that the relationship formed under this Agreement is not exclusive. In the event that Riviera receives more than one referral for the same prospective client and the referral is successful, the first party (whether an external broker or representative or internal representative) to provide a written referral to Riviera will be credited with the referral. Riviera will use reasonably diligent efforts to identify the time and order in which the written referrals were received. Broker agrees to accept Riviera's determination as final and binding and waives any right to challenge Riviera's determination.				
EXCLUSION: A prospective client in which Broker had or has any ownership/r connections is disqualified as a successful referral and Broker may not earn connections.	-	family		
OBLIGATION OF RIVIERA: Riviera agrees to comply with all reasonable reque reasonably necessary to the performance of Broker's duties under this Agreen	•	nd forms		
MARKETING/REPRESENTATIONS: Riviera Finance may provide Broker with marketing materials. Any use of Riviera marketing materials and information, including use on Internet websites, must be pre-approved and not altered whatsoever. Broker will not use the name "Riviera Finance" or any Riviera logo for advertising purposes without the express written consent of Riviera. Broker may not make promises or representations to any referral on behalf of Riviera.				
INDEMNITY: Each Party agrees to waive all claims against the other Party(ies) its employees, or third parties as a result of its participation in the activities coralleges gross negligence by a Party participating in this Agreement.		=		
AGREED AND ACCEPTED BY THE UNDERSIGNED AUTHORIZED REPRESE	ENTATIVES:			
BROKER () Payments will be issued to the name listed here	RIVIERA FINANCE OF TEXAS, INC.			
Signature & Title	Signature, National Affiliate Manager			
Date	Date			
Date	Date			



## INDEPENDENT BROKER MARKETING APPLICATION



Broker Name (Individual or Company):				
Address:				
City:	State:	Zip:		
Email:		Tel:		
Estab.:	Federal Tax ID#:			
Broker DOB:	Broker SSN#:			
Description of Primary Business:				
Products/Services Offered (Check all pertaining)				
Factoring	Asset-Based Lending	Equipment Leasing		
Bank Loans	Investment Banking	Venture Capital		
Other Commercial Finance (describe)				
Mortgages	Consumer Finance	SBA Packaging		
Consulting (describe)				
Other (describe)				
% Of Time Currently Spent On Factor	ing?			
PROFESSIONAL REFERENCES				
Name Tel				
Name Tel				
Name Tel	Re	elationship		
PROFESSIONAL ASSOCIATIONS AND POSITIONS HELD (DATES)				
1.				
2				
I acknowledge the above information to be accurate to the best of my knowledge. I hereby authorize Riviera Finance to investigate				
my references, prior employers, and other matters related to my qualifications as an Independent Broker. I authorize Riviera Finance				
to request and obtain a consumer credit report. If such a report is obtained, I understand that I have a right to receive a copy.				
Signature of Applicant:		Date		